

Joule, Volume 7

Supplemental information

Coming in from the cold:

Heat pump efficiency

at low temperatures

Duncan Gibb, Jan Rosenow, Richard Lowes, and Neil J. Hewitt

Supplemental Information to Gibb, D. et al, Coming in from the cold: Heat pump efficiency at low temperatures

References for mean January temperatures in Europe and percentage of households in temperature bands

1. World Bank Group, Climate Change Knowledge Portal, <https://climateknowledgeportal.worldbank.org/>, accessed 18 April 2023.
2. Eurostat, Number of households by household composition, number of children and age of youngest child, https://ec.europa.eu/eurostat/databrowser/view/LFST_HHNHTYCH/default/table?lang=en, accessed 18 April 2023.

References for Figure 1

1. European Heat Pump Association (2023). Heat pump record: 3 million units sold in 2022, contributing to REPowerEU targets. European Heat Pump Association. https://www.ehpa.org/press_releases/heat-pump-record-3-million-units-sold-in-2022-contributing-to-repowereu-targets/.
2. IEA Weather for Energy Tracker – Data Tools. IEA. <https://www.iea.org/data-and-statistics/data-tools/weather-for-energy-tracker>.

Description of compiled datasets shown in Figure 2

In Switzerland [CH], field tests were carried out in 14 different buildings to evaluate air/water heat pump performance over a full heating season. All systems saw COPs well above 2, even between 3 and 4, around 0°C.

Field tests in southwestern Germany [DE] showed the performance of air/water heat pumps in residential applications. The heat pumps had COPs above 3 even hovering around 0°C and on the coldest day, a COP of 2.4 at -10°C.

In the United Kingdom in [UK], some of the country's coldest days with average temperatures reaching -6°C found only a marginal decline in air-source heat pump performance. Although these data contain some outlying datapoints with COPs less than 2 around 0°C, overall, the median COP was 2.4 during the coldest day of the monitoring period for all homes.

In the United Statesⁱ [US1], 43 homes in the states of New York and Massachusetts were set up with monitoring equipment to evaluate the performance of cold-climate air-to-air heat pumps during real-world cold-weather conditions. Monitoring results showed average COPs around 2.5 when temperatures fell below freezing.

ⁱ The authors of this study note that the data collected were not large enough to be statistically significant.

In-field performance testing of an air-source heat pump was carried out during the winter in a well-insulated house in Ontario, Canada [CA]. At the lowest outside air temperature of -19°C, COP was around 1.8, while it reached 5.0 at 9°C. Between -10°C and 0°C, COP averaged 2.75.

In China [CN], a three-month field test of an air-source heat pump system was conducted in one of the country's coldest regions. The system was able to meet the heating demand, even when the ambient temperature fell to -24°C. In mild cold climate conditions, between 5°C and -10°C, COP averaged 2.4.

Also in the United States [US2], field testing was conducted of cold-climate heat pumps in Connecticut which saw COPs above 2.5 below freezing and as high as 2.3 when daily average outside temperatures dropped to -15°C.

Due to their large sizes, the datasets CH and CN were downsampled to 500 measurements to make them more comparable to the other data. This reduced the weight of these samples in the aggregate, but their individual distributions remain the same.

Tables summarizing performance of heat pumps in mild and extreme cold climates

Table 1. Performance summary for heat pumps in mild cold climates

Study	Average COP measured when outside temperature was between -10°C and 5°C
[CH] – Switzerland	3.2
[DE] – Germany	3.7
[UK] – United Kingdom	2.5
[US1] – United States, NY and MA	2.5
[CA] – Canada	3.3
[CN] – China	2.4
[US2] – United States, Connecticut	2.7

Table 2. Performance summary for heat pumps in extreme cold climates

Study	Minimum Temperature (°C)	COP at Minimum Temperature
[FI] – Mitsubishi – MSZ-RW25VG	-30	1.5 – 2.0
[FI] – Toshiba – RAS-25N4KVPK	-30	1.0 – 1.5
[US3] – United States, Minnesota	-12	1.3
[US4] – United States, Alaska	-35	1.8

Clarifying note on heat pump performance

It is worth noting that there can be a significant range of performance across heat pumps models, due in part to the device design and in the software used to operate them. Analysing average efficiency can risk obscuring the extremes of performance and this should be considered when selecting a heat pump model that is appropriate for certain climate conditions and heating demands.

Additional information on performance enhancements for heat pumps

Strategies to enhance heat pump performance include avoiding low compressor speeds and periodically increasing speed to supply lubricant, as well as cycle enhancement, a process that increases evaporator capacity without compromising heat pump delivery temperature.

The following figures illustrate potential enhanced cycle options, whose benefits vary according to operating temperatures and refrigerant characteristics.

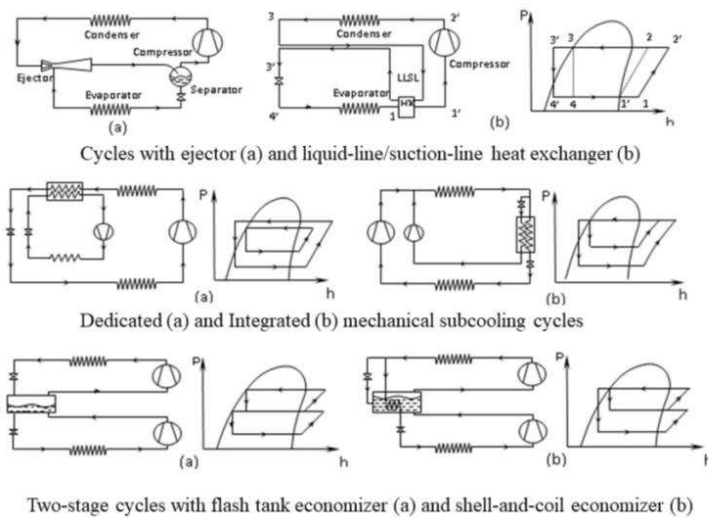
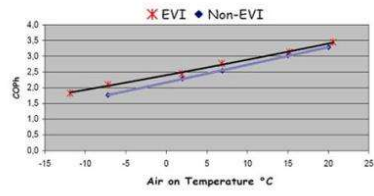
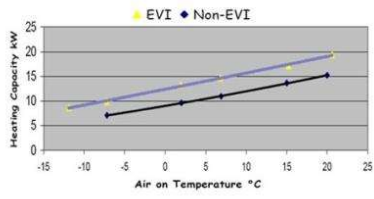
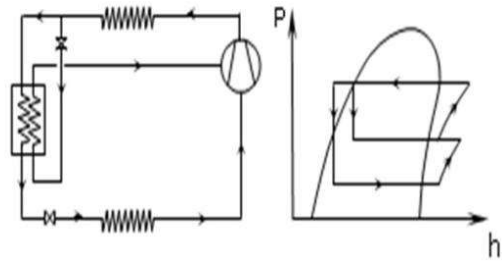


Figure 2: Heat Pump Cycle Enhancements

A popular approach is the use of vapour injection, where the refrigerant flow is split into two portions: the larger portion of flow works between the condensing and evaporating pressures as in a single-stage cycle, while the smaller portion of flow only works between the condensing and intermediate pressures. Thus, with not all the refrigerant flow across the whole temperature lift, performance is improved.



Resources referenced in the study

CH: Prinzing, M., Berthold, M., Bertsch, S., and Eschmann, M. (2021). Feldmessungen von Wärmepumpen-Anlagen 2020/21 (EnergieSchweiz).

DE: Lämmle, M., Bongs, C., Wapler, J., Günther, D., Hess, S., Kropp, M., and Herkel, S. (2022). Performance of air and ground source heat pumps retrofitted to radiator heating systems and measures to reduce space heating temperatures in existing buildings. *Energy* 242, 122952. 10.1016/j.energy.2021.122952.

UK: Energy Systems Catapult (2023). Electrification of Heat - Interim Heat Pump Performance Data Analysis Report. <https://es.catapult.org.uk/report/electrification-of-heat-interim-heat-pump-performance-data-analysis-report/>.

US1: The Cadmus Group (2022). Residential ccASHP Building Electrification Study. <https://e4thefuture.org/deep-dive-research-heat-pump-building-electrification/>.

CA: Safa, A.A., Fung, A.S., and Kumar, R. (2015). Performance of two-stage variable capacity air source heat pump: Field performance results and TRNSYS simulation. *Energy and Buildings* 94, 80–90. 10.1016/j.enbuild.2015.02.041.

CN: Wu, C., Liu, F., Li, X., Wang, Z., Xu, Z., Zhao, W., Yang, Y., Wu, P., Xu, C., and Wang, Y. (2022). Low-temperature air source heat pump system for heating in severely cold area: Long-term applicability evaluation. *Building and Environment* 208, 108594. 10.1016/j.buildenv.2021.108594.

US2: Johnson, R.K. (2013). Measured Performance of a Low Temperature Air Source Heat Pump (United States Department of Energy) 10.2172/1260317.

FI: SCANOFFICE (2022). VTT:n testiraportit | Ilmalämpöpumppuvertailu. Scanoffice. <https://scanoffice.fi/vtt-n-testiraportit-ilmalampopumppuvertailu/>.

US3: Schoenbauer, B., Bohac, D., and Kushler, M. (2017). Cold Climate Air Source Heat Pump Field Assessment. Center for Energy and Environment. <https://www.mncee.org/cold-climate-air-source-heat-pump-field-assessment>.

US4: Shen, B., Baxter, V., Abdelaziz, O., and Rice, K. (2017). CCHP – Finalize field testing of cold climate heat pump (CCHP) based on tandem vapor injection compressors. http://cchrc.org/media/FY17-CCHP-2nd-milestone-report_v4.pdf.

Alphabetical list of additional resources on heat pump efficiency

1. Abdelaziz, O., and Shen, B. (2012). Cold Climates Heat Pump Design Optimization. https://web.ornl.gov/~jacksonwl/hpdm/ID_7628Final.pdf.
2. Alibabaei, N., Fung, A.S., Raahemifar, K., and Moghimi, A. (2017). Effects of intelligent strategy planning models on residential HVAC system energy demand and cost during the heating and cooling seasons. *Applied Energy* 185, 29–43. 10.1016/j.apenergy.2016.10.062.
3. Bahman, A. M., Parikhani, T., & Ziviani, D. (2022). Multi-objective optimization of a cold-climate two-stage economized heat pump for residential heating applications. *Journal of Building Engineering*, 46, 103799. <https://doi.org/10.1016/j.JOBE.2021.103799>
4. Carroll, P., Chesser, M., and Lyons, P. (2020). Air Source Heat Pumps field studies: A systematic literature review. *Renewable and Sustainable Energy Reviews* 134, 110275. 10.1016/j.rser.2020.110275.
5. Chesser, M., Lyons, P., O'Reilly, P., and Carroll, P. (2021). Air source heat pump in-situ performance. *Energy and Buildings* 251, 111365. 10.1016/j.enbuild.2021.111365.
6. Chung, E. (2023). Will a heat pump work in my region's climate? How low can it go? Your questions answered | CBC News. CBC. <https://www.cbc.ca/news/science/heat-pump-faq-1.6824634>.
7. Demirezen, G., and Fung, A.S. (2021). Feasibility of Cloud Based Smart Dual Fuel Switching System (SDFSS) of Hybrid Residential Space Heating Systems for Simultaneous Reduction of Energy Cost and Greenhouse Gas Emission. *Energy and Buildings* 250, 111237. 10.1016/j.enbuild.2021.111237.
8. Demirezen, G., and Fung, A.S. (2021). Smart Dual Fuel Switching System (SDFSS) of Hybrid Heating as an Effective Way to Decarbonize Residential Sector in Cold Climates - A Case Study of Ontario, Canada.
9. Energy Systems Catapult (2023). Electrification of Heat - Interim Heat Pump Performance Data Analysis Report. <https://es.catapult.org.uk/report/electrification-of-heat-interim-heat-pump-performance-data-analysis-report/>.
10. Fraunhofer Institute for Solar Energy Systems. (2020). Wärmepumpen in Bestandsgebäuden Ergebnisse Aus Dem Forschungsprojekt „WPsmart Im Bestand“. Fraunhofer-Institut für Solare Energiesysteme ISE. <https://www.ise.fraunhofer.de/de/presse-und-medien/presseinformationen/2020/warmepumpen-funktionieren-auch-in-bestandsgebaeuden-zuverlaessig.html>.
11. Government of Yukon (2021). Air Source Heat Pump Pilot Project Technical Report. <https://yukon.ca/sites/yukon.ca/files/emr/emr-air-source-heat-pump-pilot-project-technical-report.pdf>.
12. Johnson, R.K. (2013). Measured Performance of a Low Temperature Air Source Heat Pump 10.2172/1260317.

13. Lämmle, M., Bongs, C., Wapler, J., Günther, D., Hess, S., Kropp, M., and Herkel, S. (2022). Performance of air and ground source heat pumps retrofitted to radiator heating systems and measures to reduce space heating temperatures in existing buildings. *Energy* 242, 122952. 10.1016/j.energy.2021.122952.
14. Margolies, J. and Gries, K. (2019). 2019 Dual Fuel Air-Source Heat Pump Monitoring Report. <https://slipstreaminc.org/sites/default/files/documents/publications/dual-fuel-air-source-heat-pump-pilot.pdf>.
15. Miara, M. (2023). Application of Heat Pumps in Existing Buildings. <https://www.oxfordenergy.org/publications/decarbonizing-heat-in-the-european-buildings-sector-options-progress-and-challenges-issue-135/>.
16. Natural Resources Canada, Ferguson, A., and Sager, J. (2022). Cold-climate air source heat pumps: assessing cost-effectiveness, energy savings and greenhouse gas emission reductions in Canadian homes. https://ftp.maps.canada.ca/pub/nrcan_rncan/publications/STPublications_PublicationsST/329701/gid_329701.pdf.
17. O Hegarty, R., Kinnane, O., Lennon, D., and Colclough, S. (2021). The Performance Potential of Domestic Heat Pumps in a Temperate Oceanic Climate. In *Sustainability in Energy and Buildings 2020 Smart Innovation, Systems and Technologies*. (Springer Singapore), pp. 29–41. 10.1007/978-981-15-8783-2_3.
18. Pistoichini, T., Dichter, M., Chakraborty, S., Dichter, N., & Aboud, A. (2022). Greenhouse gas emission forecasts for electrification of space heating in residential homes in the US. *Energy Policy*, 163, 112813. <https://doi.org/10.1016/j.enpol.2022.112813>
19. Prinzing, M., Berthold, M., Bertsch, S., and Eschmann, M. (2021). *Feldmessungen von Wärmepumpen-Anlagen 2020/21* (EnergieSchweiz).
20. Safa, A.A., Fung, A.S., and Kumar, R. (2015). Comparative thermal performances of a ground source heat pump and a variable capacity air source heat pump systems for sustainable houses. *Applied Thermal Engineering* 81, 279–287. 10.1016/j.applthermaleng.2015.02.039.
21. Safa, A.A., Fung, A.S., and Kumar, R. (2015). Heating and cooling performance characterisation of ground source heat pump system by testing and TRNSYS simulation. *Renewable Energy* 83, 565–575. 10.1016/j.renene.2015.05.008.
22. Safa, A.A., Fung, A.S., and Kumar, R. (2015). Performance of two-stage variable capacity air source heat pump: Field performance results and TRNSYS simulation. *Energy and Buildings* 94, 80–90. 10.1016/j.enbuild.2015.02.041.
23. Schoenbauer, B., Bohac, D., and Kushler, M. (2017). *Cold Climate Air Source Heat Pump Field Assessment*. Center for Energy and Environment. <https://www.mncee.org/cold-climate-air-source-heat-pump-field-assessment>.
24. SCANOFFICE (2022). VTT:n testiraportit | Ilmalämpöpumppuvertailu. Scanoffice. <https://scanoffice.fi/vtt-n-testiraportit-ilmalampopumppuvertailu/>.

25. Shen, B., Baxter, V., Abdelaziz, O., and Rice, K. (2017). CCHP – Finalize field testing of cold climate heat pump (CCHP) based on tandem vapor injection compressors. http://cchrc.org/media/FY17-CCHP-2nd-milestone-report_v4.pdf.
26. Steven Winter Associates and US Department of Energy (2017). Study: Air-Source Heat Pumps in Cold Climates. <https://www.swinter.com/projects/project/study-air-source-heat-pumps-cold-climates/>.
27. The Cadmus Group (2022). Residential ccASHP Building Electrification Study. <https://e4thefuture.org/deep-dive-research-heat-pump-building-electrification/>
28. Walker, I. S., Less, B. D., & Casquero-Modrego, N. (2022). Carbon and energy cost impacts of electrification of space heating with heat pumps in the US. *Energy and Buildings*, 259, 111910. <https://doi.org/10.1016/j.enbuild.2022.111910>
29. Wu, C., Liu, F., Li, X., Wang, Z., Xu, Z., Zhao, W., Yang, Y., Wu, P., Xu, C., and Wang, Y. (2022). Low-temperature air source heat pump system for heating in severely cold area: Long-term applicability evaluation. *Building and Environment* 208, 108594. 10.1016/j.buildenv.2021.108594.
30. Ye, K.K., Demirezen, G., Fung, A.S., and Janssen, E. (2020). The use of artificial neural networks (ANN) in the prediction of energy consumption of air-source heat pump in retrofit residential housing. *IOP Conf. Ser.: Earth Environ. Sci.* 463, 012165. 10.1088/1755-1315/463/1/012165.
31. Zhang, L., Jiang, Y., Dong, J., and Yao, Y. (2018). Advances in vapor compression air source heat pump system in cold regions: A review. *Renewable and Sustainable Energy Reviews* 81, 353–365. 10.1016/j.rser.2017.08.009.
32. Zhang, Y., Ma, Q., Li, B., Fan, X., and Fu, Z. (2017). Application of an air source heat pump (ASHP) for heating in Harbin, the coldest provincial capital of China. *Energy and Buildings* 138, 96–103. 10.1016/j.enbuild.2016.12.044.